

01-29-01

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Practitioner's Docket No. 791\_130

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on January 26, 2001 under "EXPRESS MAIL" mailing label number **EL742732059US**.

*Elizabeth A. VanAntwerp*  
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Transmitted herewith for filing is the patent application of:

Inventor 1: Li YANG  
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Japan

Inventor 2: Toshihiro YOSHIDA  
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Japan

Title: LITHIUM SECONDARY BATTERY

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

22 Page(s) of Specification  
3 Page(s) of Claims  
1 Page(s) of Abstract  
3 Sheets of Formal Drawings (Figs. 1-4)

B. Other Papers Enclosed

☒ A Patent Application Bibliographic Data  
☐ A Preliminary Amendment  
☒ A combined Declaration and Power of Attorney  
☒ A Claim for Priority and a certified copy of 2000-032362 filed February 9, 2000 in Japan  
☒ An Information Disclosure Statement  
☒ PTO Form-1449  
☒ An Assignment Transmittal and Assignment of the invention to  
NGK Insulators, Ltd.

2. Small Entity Status

☐ Applicant claims small entity status. See 37 CFR § 1.27.

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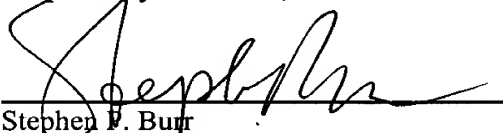
**3. The filing fee has been calculated as shown below:**

- a. Filing Fee  
☒ Original Patent Application \$ 710.00  
(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)
- b. Fees for Claims (16 Claims; 1 Indep.)  
☐ each independent claim in excess of 3  
(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00) \$ .00  
☐ each claim in excess of 20  
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00) \$ .00  
☐ multiple dependent claim(s)  
(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00) \$ .00
- c. ☒ Assignment Fee \$ 40.00
- Total Fees Due \$ 750.00**

**4. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment**

- ☒ A check in the amount of \$750.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
 Stephen P. Burr  
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January 26, 2001  
 Date

SPB/eav

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